

DEPARTMENT OF PUBLIC SAFETY

EFFECTIVE DATE: 05/12/2003

POLICY NO.: COR.10.1E.06

SUPERSEDES (Policy No. & Date): COR.10D.15 01/09/98

CORRECTIONS ADMINISTRATION POLICY AND PROCEDURES

SUBJECT:

ORAL CARE

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No. 2003-468

1.0 **PURPOSE**

To provide oral care to inmates under the direction and supervision of a dentist licensed in the State.

2.0 <u>REFERENCES AND DEFINITIONS</u>

.1 References

- a. HRS, Section 26-14.6, <u>Department of Public Safety</u>; and Section 353C-2, Director of Public Safety, <u>Powers and Duties</u>.
- b. National Commission on Correctional Health Care <u>Standards for Prisons and Jails</u>, (2003), Oral Care.
- c. American Dental Association.
- d. American Correctional Association <u>Standards for Adult Local</u> <u>Detention Facilities</u>, (1991), dental Screening and Examination.
- e. <u>Department of Public Safety Policy and Procedures Manual,</u>
 COR.10A.16, Inmates Requesting Private Medical Care Provider.
 COR.10.1G.11, Prostheses
 COR.10.1H.05, The Transfer of Medical Records.

.2 Definitions

- a. <u>Universal Dental Recording System</u>: A mean of identifying teeth by number.
- b. <u>Prosthetics</u>: Artificial devices to replace missing body parts; in this case, dentures, bridges, etc.

3.0 **POLICY**

.1 Dental examinations and treatments for inmates shall be performed by, and under the direction and supervision of, a dentist licensed to practice in the Sate of Hawaii.

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.2 Dental care of inmates shall be timely and includes immediate access for urgent or painful conditions. The inmate's serious urgent and emergent dental needs shall be met.

4.0 **PROCEDURES**

- Dental screening of newly admitted inmates shall occur within fourteen (14) days of admission into the Department of Public Safety (PSD) jail facilities and seven (7) days after admission to prison facilities. Inmates who transfer from one PSD facility to another who received a dental screen while at the sending facility do not require a new screening at the receiving facility if the documentation in the dental record is received within the 14 to 7 days respectively.
- .2 The dental screening shall include visual observation of the teeth and gums, noting any gross abnormalities which require immediate referral to a dentist. Health staff with documented training by a dentist can perform dental screens. The screening shall be recorded in the dental record.
- .3 Instructions in oral hygiene and preventive oral education are given within one (1) month of admission by a dentist, dental hygienist, or health staff with documented training by a dentist.
- A dentist shall perform a dental examination on all inmates within thirty (30) days of admission to a PSD prison facility and within one (1) year of admission to a PSD jail facility. Inmates who transfer from one PSD facility to another who received a dental screen while at the sending facility do not require a new examination at the receiving facility if the documentation in the dental record transfers with the inmate.
 - Inmates who are re-admitted and who received a dental examination and treatments within the past year do not require a new examination unless so determined by the supervising dentist.
- Dental examinations shall include taking the patient's dental history, and extraoral head and neck examination, charting of teeth and examination of hard and soft tissue of the oral cavity with a mouth mirror, explorer and adequate illumination. The examination results shall be recorded on Form DOC 0424 Dental Examination (Attachment A) utilizing a number system, such as the Universal Dental Recording System (e.g., 1-32, A -T).

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- Oral treatment shall be prioritized for emergencies, acute injuries to the teeth, acute injuries to the oro-facial complex, infection control, pain management, proper mastication and maintaining the patients' health status.
- .7 Bitewing x-rays and additional radiographs may be taken at the time of the patient's first treatment appointment and thereafter as indicated.
- .8 Each inmate shall have access to the preventive benefits of fluorides in a form determined by the dentist to be appropriate for the needs of the individual.
- .9 Extractions shall be performed in a manner consistent with community standards of care and adhering to the American dental association's clinical guidelines. Extractions are limited to the following:
 - 1. Non-restorable teeth;
 - 2. Periodontally compromised teeth; and
 - 3. Severe, acute or chronic infection.

Informed patient consent for extractions is required on DOC 0427, Consent to Operation, Post Operative Care, medical Treatment, Anesthesia or Other Procedure (Attachment B).

- .10 Inmates can seek private dental care at their own expense under COR.10A.16, Inmates Requesting Private Medical Care Provider. For security reasons, dental staff should encourage the private provider to come to the facility to provide the services. Approval for private provider care must be approved by the Correctional Health Care Administrator or designee.
- .11 Medical reviews of any inmates to be transferred to another correctional facility shall include consideration of any pending dental work. Should an inmate's pending transfer involve a facility at which an institutional dentist is not readily available and the inmate has major uncompleted dental work pending, the inmate shall not be transferred until dental services have been completed.
- .12 All dental records shall be confidential. These records shall be maintained for all patients and shall include as indicated the:

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- a. Dental Treatment Record, DOC 0409 (Attachment C).
- b. Dental Health Questionnaire, DOC 0411 (Attachment D).
- Refusal to consent to Medical/Surgical Treatment/Medication, DOC 0417 (Attachment E).
- d. Dental Examinations.
- e. Consent to Operation, Post Operative..., DOC 0427, (Attachment B).
- f. Perio Chart.
- g. Dental Problem Sheet, DOC 0475 (Attachment F).
- h. Medical Needs Memo, DOC 0449 (Attachment G).
- i. Consultation Record, doc 0406 (Attachment H).
- j. X-rays.
- .13 When an inmate transfers to another PSD facility, the dental record shall be packed with the medical record and transferred according to P & P COR.10E.03, The Transfer of Medical Records.
- .14 Dental records shall be notated in S-O-A-P or problem oriented format. All notes shall include the client's complaint, the examination, the diagnostic impression, and the treatment and treatment plans.
- .15 Form DOC 0406 Consultation Record shall accompany the inmate to an outside dental referral. DOC 0406 will also be used when a dental consultant comes to the facility. The Consultation Record and the consultant's report shall be filed in the Consultation Index of the medical record. A copy of the consultation Record and consultant's report shall be filed in the dental record.
- All dental staff shall practice universal infection controls and infection controls. Infection control practices are defined by the American Dental Association and the Centers for Disease control and Prevention as including sterilizing

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instruments, disinfecting equipment, and properly disposing of hazardous waste.

No inmate shall be denied dental treatment because of an infectious condition.

.17 Reviews of dental services will be included in the PSD health services quality assurance program as described in P & P COR.10A.05, Quality Improvement Program.

5.0 **SCOPE**

This policy and procedure applies to all correctional facilities and their assigned personnel.

APPROVAL RECOMMENDED:

Correctional Health Care Administrator

APPROVED:

CATEGORY CL	ASSIFICATION:
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FACILITY	
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STATE OF HAWAII DEPARTMENT OF PUBLIC SAFETY

DENTAL EXAMINATION

NAME	D.O.B	SSN
Date Admitted	Exam Date	
Medical Alert		
	26 25 24 23 22 21 20 19 18 17	n dingiva
B. EXTRAORAL INSPECTION Face Head Neck Lymph Nodes TMJ C. ORAL INSPECTION Lips Vestibule Mucosa Pharynx Tonsils Gingiva Palate Tongue Floor of the Mouth		S:

MEDICAL TREATMENT, ANESTHESIA, OR OTHE	
PROCEDURE	Facility: Date:
You have the right and obligation to make decisions conc	erning your health care. The physician must provide you with th
information and advice concerning the proposed procedure	
	Any section below which does not apply to the proposed
and ordinary language.	treatment may be crossed out. All sections crossed out must
PROFESSIONAL:	be initialed by both the physician and the patient.
ORDINARY LANGUAGE:	(6) I consent to the administration of (general, spinal, regional, local) anesthesia by my attending physician, by an
AT	anesthesiologist, a nurse anesthetist, or other qualified party under the direction of a physician as may be deemed necessary.
(2) Describe procedures(s) to be performed in	I understand that all anesthetics involve risks that may result in
professional and ordinary language, if appropriate.	complications and possible serious damage to such vital organs
PROFESSIONAL:	as the brain, heart, lungs, liver and kidney.
ORDINARY LANGUAGE:	These complications may result in paralysis, cardiac arrest
	and related consequences or death from both known and unknown causes.
AT	
	(7) I consent to the use of transfusion of blood and blood
(3) I recognize that, during the course of the operation,	products as deemed necessary. I have been informed of the
post operative care, medical treatment, anesthesia, or	risks which are transmission of disease, allergic reactions,
other procedure, unforeseen conditions may necessitate	and other unusual reactions.
my above-named physician and his or her assistants, to	
perform such surgical or other procedures as are	(8) Any tissue or part surgically removed may be disposed
necessary to preserve my life and bodily functions.	of by the hospital or physician in accordance with accustomed practice.
(4) I have been informed that there are many significant	
risks, such as severe loss of blood, infection, cardiac arrest and other consequences that can lead to death or	(9) Any additional comments may be inserted here:
permanent or partial disability, which can result from	
any procedure.	
	(10) I have had the opportunity to ask questions about this
(5) No promise or guarantee has been made to me as to result or care.	form.
FULL DISCL	OSURE
HAS INFORMED ME OF THE:	ESCRIBED ABOVE AND I AGREE THAT MY PHYSICIAN
a) DIAGNOSIS OR PROBABLE DIAGNOSIS	
b) NATURE OF THE TREATMENT OR PRO	
	O IN SUCH TREATMENT OR PROCEDURES.
d) ALTERNATIVE FORMS OF TREATMEN e) ANTICIPATED RESULTS OF THE TREA	T, INCLUDING NON-TREATMENT, AVAILABLE. TMENT.
Patient/Other Legally Responsible Person Sign, If A	
Physician	Date
	Date
DOC 0427 (11/97)	CONFIDENTIAL

DENTAL TREATMENT RECORD

DATE	TOOTH #	TREATMENT RECEIVED
 		
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		·
		
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DOC 409 (06/92)

CONFIDENTIAL

DENTAL HEALTH QUESTIONNAIRE

1111	3:	SSN:		
	(LAST) (FIRST) (MI)			
CII	LITY:DOB:	SID:		
	following questions, circle yes or no, whichever applies. Your answe	ers are for our records only and w	ill be con	sid
	Has there been any change in your general health within the past year		YES	ì
	My last dental/physical examination was on			
	Are you under the care of a physician		YES]
	If so, what is the condition being treated			
	Have you had any serious illness or operation		YES]
٠.	If so, what was the illness or operation		WE0	,
	Have you been hospitalized or had a serious illness within the past 5 y	ears	YES]
	If so, what was the problem			
	a. Rheumatic fever or rheumatic heart disease	us.	YES]
	b. Heart problems (heart trouble, heart attack, coronary insufficiency	coronary occlusion	115	
	arteriosclerosis, stroke)	, colonary occlusion,	YES]
	c. High blood pressure		YES]
	d. Allergy		YES]
	e. Sinus trouble		YES]
	f. Asthma or hay fever		YES	
	g. Fainting spells or seizures		YES	
	h. Diabetes		YES	
:	i. Hepatitis, jaundice or liver disease		YES	
	j. Arthritis		YES	
	k. Inflammatory rheumatism (painful swollen joints)		YES	
	1. Stomach ulcers		YES	
:	m. Kidney trouble		YES	
	n. Tuberculosis		YES	
	o. Low blood pressure	¢ .	YES	
	p. Venereal disease		YES	
	q. AIDS, HIV+, HIV-	•	YES	
	r. Other		YES	
	Have you had abnormal bleeding associated with previous extraction's	s, surgery, or trauma	YES	
	a. Do you bruise easilyb. Have you ever required a blood transfusion		YES YES	
	If so, explain the circumstances		1 E3	
	Do you have any blood disorder such as anemia		YES	
	Have you had surgery or X-ray treatment for a tumor, growth or othe	r condition of your head	120	
	or neck	or your name	YES	
	Are you taking any drug or medicine		YES	
	If so, what			
	Are you allergic or have you reacted adversely to any medicines		YES	
	Have you had any serious trouble associated with any previous dental	treatment	YES	
	If so, explain Do you have any disease, condition, or problem not listed above that	you think I should know about	YES	
	If so, explain			

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STATE OF HAWAII DEPARTMENT OF PUBLIC SAFETY

REFUSAL TO CONSENT TO MEDICAL/SURGICAL/DENTAL TREATMENT/MEDICATION NAME:______ SSN:_____ SID:_____ DOB:______ FACILITY:_____ DATE:_____ TIME:____ I, the undersigned patient, refuse the following treatment and/or medication: (Describe Treatment and/or Medication) The risk of refusing treatment or medication has been explained to me and I accept the risk involved. I release the State, the Department, the facility, the Health Care Division, and its medical personnel from any responsibility whatever for any unfavorable reaction, outcome, or any untoward results due to this refusal on my part to accept treatment or medication. (Print Name of Patient) (Signature of Patient)* (Date) I, the undersigned, have explained to the above named patient the risk involved in refusing treatment or medication recommended for the patient's continued good health. (Signature & Title) (Date) (Print Name) YES NO A referral has been made to the attending physician: I have reviewed this case and if necessary have further counseled this patient on the risk of refusing treatment or medication. (Signature & Title) (Date) (Print Name of Provider) * If the patient refuses treatment and/or medication and refuses to sign this consent, please have refusal witnessed by another correctional employee. I have witnessed the above named patient refuse the recommended treatment or medication and I have also witnessed the patient's refusal to sign this consent form. (Signature & Title) (Date) (Print Name & Title)

DOC 0417

(3/95)

STATE OF HAWAII

DEPARTMENT OF PUBLIC SAFETY

DENTAL PROBLEM SHEET

	· · · · · · · · · · · · · · · · · · ·	racility:			
	Problem	Date Observed	Date Completed	NOTES	
1.					
2.					
3.					
4.					
5.					
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24.					
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MEDICAL NEEDS MEMO

Facility:	·			Date:		
то:		F.	ROM:			
Inmate			(Signature/Title of Provider) Housed in			
(Print In	nmate's Name)	- 41		·		
1						
DURATION	i:Days;	Weeks;	Months;	Indefinitely		
	t to exceed three monts Classification Report re					
Original:	UTM/ACO/Work S	Supervisor		· · -		
Canary:	Medical Record					
Pink:	Inmate					
DOC 0449	(12/2002)			CONFIDENTIAL		

CONSULTATION RECORD

lity				S.I.D.
Last	First	Initial	DOB	SSN
го:		DATE	OF APPOINTMENT:	TIME:
OR CONSULT	ATION:			
			-	
>			Requesting Physician	M.D.
NT'S REPORT (HISTORY, FIN	IDINGS, DIAGNOSIS	, RECOMMENDATIONS)	
		,		
		·		
				M.D.
	Last TO: OR CONSULT NT'S REPORT (Last First TO: OR CONSULTATION: NT'S REPORT (HISTORY, FIN	Last First Initial TO: DATE OR CONSULTATION: NT'S REPORT (HISTORY, FINDINGS, DIAGNOSIS	Last First Initial DOB TO: DATE OF APPOINTMENT: OR CONSULTATION: Requesting Physician NT'S REPORT (HISTORY, FINDINGS, DIAGNOSIS, RECOMMENDATIONS)

Original: Yellow:

HCU Consultant's Copy

DOC 0406 (11/97)

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